

## Intermediary Client Investment Profile

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## Notice to clients

Your advisor is obliged by law to have a reasonable basis for making a securities recommendation. Your advisor must, therefore, base recommendations on a consideration of the information you give us about your investment objectives, financial situation and particular needs.

To help us fulfil this obligation, we ask that you provide certain information to us, either in writing or verbally. This Intermediary Client Investment Profile form sets out the sort of information that may assist us. This information will be kept in a secure and confidential manner. You should note, however, that in some circumstances we may be compelled by law to disclose certain information, for example, to the Australian Securities and Investments Commission (ASIC), the Australian Transaction Reports and Analysis Centre (AUSTRAC) or the Australian Taxation Office (ATO). For information regarding our privacy policy, please contact the Privacy Officer, JBWere, GPO Box 2050, Melbourne Vic 3001 or send an email to [jbwereprivacy@jbwere.com](mailto:jbwereprivacy@jbwere.com).

If you choose to provide this information, you should ensure that you tell your advisor about any changes that may be relevant when advice is given in the future.

You are, of course, free to refuse to provide some or all of this information. However, if you do so, we are required by law to warn you that we will not be able to comprehensively review your financial situation and we will therefore be limited in our ability to make appropriate recommendations. In these circumstances, you will need to assess the appropriateness of our recommendations relative to your needs before acting on them.

## 1. Financial Planner details

Please supply the details of your Financial Planner and not your JBWere Advisor.

Financial planner																	
Dealer group name																	
Planner's address																	
Country						State						Postcode					
Work phone ( )																	
Facsimile ( )																	

## 2. Personal details

Client 1

Title			First name														
Surname																	
Residential address																	
Country						State						Postcode					
Work phone ( )																	
Facsimile ( )																	
Date of birth																	

Client 2

Title			First name														
Surname																	
Residential address																	
Country						State						Postcode					
Work phone ( )																	
Facsimile ( )																	
Date of birth																	







## 8. Financial Planner and client signature(s)

The information provided on this form is complete and accurate to the best of my/our knowledge. I/We understand that any recommendation made without the completion of this form, or following partial or inaccurate completion, may not appropriate to my/our needs. I/We therefore acknowledge that prior to acting on this recommendation I/we will need to consider its appropriateness to my/our individual circumstances.

I/We have been provided with a copy of the JBWere Financial Services Guide (tick box).

<input type="checkbox"/> Yes	<input type="checkbox"/> No
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### Financial Planner

Signature	<input type="text" value="Sign here"/>								
Date signed	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		

### Client 1 signature

Signature	<input type="text" value="Sign here"/>								
Date signed	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		

### Client 2 signature

Signature	<input type="text" value="Sign here"/>								
Date signed	<table border="1"><tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr></table>	D	D	M	M	Y	Y	Y	Y
D	D	M	M	Y	Y	Y	Y		

### Personal Securities Warning

Please be aware that unless your JBWere advisor has been provided with sufficient details regarding your investment objectives, financial circumstances and particular needs, they will not be able to give you personal securities advice and thus you will have to assess any general securities advice provided to you for its appropriateness to your individual circumstances. While it is your right to refuse to provide this information, you also need to understand the limitations this places on the type and extent of advice your advisor can give you. These obligations are placed on your advisor by the Corporations Act Regulations.

Please return your completed form to your JBWere Intermediary desk

#### Melbourne:

Toll free 1800 036 101  
Facsimile (03) 9679 1681  
GPO Box 2050  
Melbourne Vic 3001

#### Sydney:

Toll free 1800 112 410  
Facsimile (02) 9321 8800  
GPO Box 5264  
Sydney NSW 2001

# Corporate Directory

## Australia

### **Melbourne**

Level 16  
101 Collins Street  
Melbourne Vic 3000  
Telephone (03) 9679 1111  
Facsimile (03) 9679 1491

### **Sydney**

Level 42  
Governor Phillip Tower  
1 Farrer Place  
Sydney NSW 2000  
Telephone (02) 9321 8777  
Facsimile (02) 9321 8621

